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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/686,969	10/16/2003	Charles R. Kellner JR.	MS1-1683US	8087
22801	7590	08/06/2007	EXAMINER	
LEE & HAYES PLLC 421 W RIVERSIDE AVENUE SUITE 500 SPOKANE, WA 99201			HASAN, SYED Y	
ART UNIT		PAPER NUMBER		
2621				
MAIL DATE		DELIVERY MODE		
08/06/2007		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/686,969	KELLNER ET AL.	
Examiner	Art Unit		
Syed Y. Hasan	2621		

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

1)  Responsive to communication(s) filed on 16 October 2003.

2a)  This action is **FINAL**.                            2b)  This action is non-final.

3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## **Disposition of Claims**

4)  Claim(s) 1 - 29 and (30 - 36 renumbered) is/are pending in the application.  
4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5)  Claim(s) \_\_\_\_\_ is/are allowed.

6)  Claim(s) 1 - 19, 23 - 29 and (30 - 36 renumbered) is/are rejected.

7)  Claim(s) 20 - 22 is/are objected to.

8)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

9)  The specification is objected to by the Examiner.

10)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.

    Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

    Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a)  All    b)  Some \* c)  None of:  
1.  Certified copies of the priority documents have been received.  
2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

1)  Notice of References Cited (PTO-892) 4)  Interview Summary (PTO-413)  
2)  Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date. \_\_\_\_ .  
3)  Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date 12/08/2003 and 12/22/2003.  
5)  Notice of Informal Patent Application  
6)  Other: \_\_\_\_ .

## DETAILED ACTION

### *Claim Objections*

1. Claim 29 -35 are objected to because of the following informalities:

Claim 29 has been numbered twice, therefore all the following claims after the first claim 29 have been renumbered. Therefore previous claims 29 – 35 are renumbered to claims 30 – 36 respectively.

Appropriate correction is required.

### *Claim Rejections - 35 USC § 103*

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1, 16, 25, 31 (renumbered) and 34 (renumbered) are rejected under 35 U.S.C. 103(a) as being unpatentable over Negishi (US 6717891) in view of Ewert (6004243)

Regarding **claim 1**, Negishi discloses a computer-implemented method for processing video data comprising:

determining an ideal playback timing associated with the video data (col 3, lines 1 – 8) and  
if an actual playback timing of the video data lags the ideal playback timing (col 3, lines 21 – 24) using a smoothing function (col 3, lines 65 – 67 and col 4 lines 1 – 5

illustrates smoothing function) to recover toward the ideal playback timing (col 4, line 6)

However Negishi does not disclose varying a frame rate associated with the video data

On the other hand, Ewert teaches varying a frame rate associated with the video data (col 2, lines 65 – 67)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate varying a frame rate associated with the video data as taught by Ewert in the system of Negishi in order to provide an interactive real time function.

Regarding **claim 16**, Negishi discloses one or more computer-readable memories containing a computer program that is executable by a processor to perform the computer-implemented method (col 5, lines 38 – 39)

**Claims 25, 31 and 34** are rejected based on claim 1 above.

4. Claims 2 – 8, 10 – 13, 26, 27, 29, (renumbered 30, 32, 33, 35 and 36) are rejected under 35 U.S.C. 103(a) as being unpatentable over Negishi (US 6717891) in view of Ewert (6004243) and further in view of Mukerjee et al (US 2005/0013365) and still further in view of Robotham et al (US 5627765)

Regarding **claim 2**, Negishi discloses the computer-implemented method, wherein smoothly varying the frame rate includes controlling the frame rate (col 3, lines 65 – 67 and col 4 lines 1 – 5 illustrates smoothing function)

However Negishi and Ewert do not disclose using a frame-dropping algorithm that drops frames in the video data in accordance with the smoothing function.

On the other hand Mukerjee et al teaches a frame-dropping algorithm (page 13,

para 0184)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate a frame-dropping algorithm as taught by Mukerjee et al in the combined system of Negishi and Ewert in order to improve the viewing experience.

The combination of Negishi, Ewert and Mukerjee et al do not disclose a function that drops frames in the video data in accordance with the smoothing function.

On the other hand, Robotham et al teaches a function that drops frames in the video data in accordance with the smoothing function (col 5, lines 22 – 25)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate a frame-dropping algorithm as taught by Robotham et al in the combined system of Negishi, Ewert and Mukerjee et al in order to provide dynamic elimination of frames from video information being provided.

Regarding **claim 3**, Negishi discloses the computer-implemented method as, wherein controlling the frame rate includes:

computing a delay by comparing the actual playback timing with the ideal playback timing (col 3, line 67 and col 4, lines 1 – 2, illustrating error as delay)

if the delay exceeds a threshold value (col 4, line 10, illustrating delay as “error”) determining that the actual playback timing lags the ideal playback timing (col 4, lines 10 – 20 illustrating an effort to correct the situation)

Regarding **claim 4**, Negishi discloses the computer-implemented method, wherein the threshold value accounts for ordinary system variations (col 4, lines 10 – 20, illustrates threshold value as “specified range”)

Regarding **claim 5**, Negishi discloses the computer-implemented method, wherein the delay is computed by subtracting the ideal playback timing from the actual playback timing (col 3, line 67 and col 4, lines 1 – 2)

Regarding **claim 6**, Negishi discloses the computer-implemented method, wherein the smoothing function incorporates the delay as a variable (col 4, lines 10 – 20, illustrates delay as “error” and an attempt at a smoothing function)

Regarding **claim 7**, Negishi discloses the computer-implemented method, wherein the delay is computed as an average delay that includes an average of the delay associated with a current frame of the video data and at least a delay associated with a previous frame (figure 3B, col 7, lines 13 – 19)

Regarding **claim 8**, Negishi discloses the computer-implemented method, wherein the average delay is an average of delays associated with the current frame and a plurality of previous frames (figure 3B, col 7, lines 13 – 19)

Regarding **claim 10**, Negishi discloses the computer-implemented method (see claim 1)

However Negishi, Ewert and Robotham et al do not disclose the frame-dropping algorithm includes if a current frame is a B-frame, dropping the current frame.

On the other hand hand Mukerjee et al teaches the frame-dropping algorithm (page 13, para 0184) includes if a current frame is a B-frame, dropping the current frame (page 8, para 0117)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the frame-dropping algorithm includes if a current frame is a B-

frame, dropping the current frame as taught by Mukerjee et al in the combined system of Negishi , Ewert and Robotham et al in order to maintain visual quality.

Regarding **claim 11**, Negishi discloses the computer-implemented method (see claim 1)

However Negishi, Ewert and Robotham et al do not disclose the frame-dropping algorithm includes if a current frame is an I-frame, showing the current frame without further determination.

On the other hand hand Mukerjee et al teaches the frame-dropping algorithm (page 13, para 0184) includes if a current frame is an I-frame, showing the current frame without further determination (page 13, para 0182)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the frame-dropping algorithm includes if a current frame is an I-frame, showing the current frame without further determination as taught by Mukerjee et al in the combined system of Negishi, Ewert and Robotham et al in order to maintain visual quality.

Regarding **claim 12**, Negishi discloses the computer-implemented method (see claim 1)

However Negishi, Ewert and Robotham et al do not disclose the frame-dropping algorithm includes if a current frame is a P-frame, processing the current frame to obtain enough information for processing subsequent frames before dropping the current frame.

On the other hand hand Mukerjee et al teaches the frame-dropping algorithm

(page 13, para 0184) includes if a current frame is a P-frame, processing the current frame to obtain enough information for processing subsequent frames before dropping the current frame (page 13, para 0175)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the frame-dropping algorithm includes if a current frame is a P-frame, processing the current frame to obtain enough information for processing subsequent frames before dropping the current frame as taught by Mukerjee et al in the combined system of Negishi, Ewert and Robotham et al in order to maintain visual quality.

Regarding **claim 13**, Negishi discloses the computer-implemented method (see claim 1) and if the actual playback timing does not lag the ideal playback timing (col 3, lines 21 – 24)

However Negishi, Ewert and Robotham et al do not disclose the frame-dropping algorithm and overriding any determination to drop frames

On the other hand hand Mukerjee et al teaches the frame-dropping algorithm (page 13, para 0184 and overriding any determination to drop frames (page 13, para 0182)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate frame-dropping algorithm and overriding any determination to drop frames as taught by Mukerjee et al in the combined system of Negishi, Ewert and Robotham et al in order to maintain visual quality.

**Claims 26, 32 and 35** are rejected based on claim 2 above.

**Claim 27** is rejected based on claim 3 above.

**Claim 29** is rejected based on claim 3 and 6 above.

**Claims 30, 33 and 36** are rejected based on claim 6 and 8 above.

5. Claims 9 and 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Negishi (US 6717891) in view of Ewert (6004243) and further in view of Mukerjee et al (US 2005/0013365) and still further in view of Robotham et al (US 5627765) and still further in view of Brown (US 2003/0210251)

Regarding **claim 9**, Negishi discloses the computer-implemented method (see claim 1)

However Negishi, Ewert and Robotham et al do not disclose the frame-dropping algorithm includes a rasterization algorithm.

On the other hand hand Mukerjee et al teaches a frame-dropping algorithm (page 13, para 0184)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate a frame-dropping algorithm as taught by Mukerjee et al in the combined system of Negishi, Ewert and Robotham et al in order to improve the viewing experience.

The combined system of Negishi, Ewert, Mukerjee et al and Robotham et al does not disclose rasterization algorithm.

On the other hand Brown teaches rasterization algorithm (page 2, para 0019)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate rasterization algorithm as taught by Brown in the combined

system of Negishi, Ewert, Mukerjee et al and Robotham et al in order to smooth out display.

**Claim 28** is rejected based on claim 9 above.

6. Claim 14 is rejected under 35 U.S.C. 103(a) as being unpatentable over Negishi (US 6717891) in view of Ewert (6004243) and further in view of Dunbar et al (US 2004/0268397)

Regarding **claim 14**, Negishi discloses the computer-implemented method (see claim 1) and the ideal playback timing (col 3, lines 1 – 8)

However Negishi and Ewert do not disclose playback timing is determined from a presentation clock

On the other hand Dunbar et al teaches playback timing is determined from a presentation clock (page 2, para 0009)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate playback timing is determined from a presentation clock as taught by Dunbar et al in the combined system of Negishi and Ewert in order to accurately schedule the playback mode.

7. Claim 15 is rejected under 35 U.S.C. 103(a) as being unpatentable over Negishi (US 6717891) in view of Ewert (US 6004243) and further in view of Dunbar et al (US 2004/0268397) and still further in view of Wang (US 7116743)

Regarding **claim 15**, Negishi discloses the computer-implemented method (see claim 1)

However Negishi and Ewert do not disclose the presentation clock

On the other hand Dunbar et al teaches the presentation clock (page 2, para 0009)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the presentation clock as taught by Dunbar et al in the combined system of Negishi and Ewert in order to accurately schedule the playback mode.

The combination of Negishi, Ewert and Dunbar et al do not disclose a clock that includes a filter configured to remove noise.

On the other hand Wang teaches a clock that includes a filter configured to remove noise (col 5, lines 40 – 41)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate a clock that includes a filter configured to remove noise as taught by Wang in the combined system of Negishi, Ewert and Dunbar et al in order to effectively produce a clean clock signal.

8. Claims 17, 19, 23 and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Negishi (US 6717891) in view of Tanaka (US 6130987) and further in view of Zhang et al (US 5635982) and still further in view of Kim et al (US 6219704) and still further in view of Mukerjee et al (US 2005/0013365)

Regarding **claim 17**, Negishi discloses a computer-implemented method for managing video data frame rates (see claim1)

However Negishi does not disclose determining delays associated with playback of frames of video data; calculating an average delay from averaging the delays;

determining an ideal frame rate associated with the frames, calculating a frame skip factor; and varying the frame rates associated with the playback by applying a frame-dropping algorithm configured to determine whether to drop a current frame using the frame skip factor.

On the other hand Tanaka teaches determining delays associated with playback of frames of video data (col 2, lines 59 – 60)

determining an ideal frame rate associated with the frames (col 6, lines 12 – 15)  
and

varying the frame rates associated with the playback to determine whether to drop a current frame (col 7, lines 7 – 9)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate determining delays associated with playback of frames of video data; determining an ideal frame rate associated with the frames and varying the frame rates associated with the playback to determine whether to drop a current frame as taught by Tanaka in the system of Negishi in order to synchronously play video and audio data.

The combination of Negishi and Tanaka does not disclose a frame skip factor

On the other hand Zhang et al discloses a frame skip factor (col 4, lines 29 – 31)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate calculating an average delay from averaging the delays as taught by Zhang et al in the combined system of Negishi and Tanaka in order to synchronously play video and audio data

The combination of Negishi, Tanaka and Zhang et al does not disclose calculating an average delay from averaging the delays;

On the other hand Kim et al teaches calculating an average delay from averaging the delays

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate calculating an average delay from averaging the delays as taught by Kim et al in the combined system of Negishi, Tanaka and Zhang et al in order to synchronously play video and audio data.

The combination of Negishi, Tanaka, Zhang et al and Kim et al does not disclose a frame-dropping algorithm

On the other hand Mukerjee et al teaches a frame-dropping algorithm  
(page 13, para 0184)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate a frame-dropping algorithm as taught by Mukerjee et al in the combined system of Negishi, Tanaka, Zhang et al and Kim et al in order to improve the viewing experience.

Regarding **claim 19**, Negishi discloses a computer-implemented method (see claim1) and a smoothing function (col 3, lines 65 – 67 and col 4 lines 1 – 5 illustrates smoothing function)

However Negishi, Tanaka and Kim et al does not disclose the frame-dropping algorithm includes an iterative algorithm that varies the frame rates that includes the frame skip factor.

On the other hand Mukerjee et al teaches the frame-dropping algorithm includes an iterative algorithm that varies the frame rates

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the frame-dropping algorithm includes an iterative algorithm that varies the frame rates as taught by Mukerjee et al in the system of Negishi, Tanaka and Kim et al in order to synchronously play video and audio data

The combination of Negishi, Tanaka, Kim et al and Mukerjee et al does not disclose the frame skip factor

On the other hand Zhang et al discloses a frame skip factor (col 4, lines 29 – 31)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate calculating an average delay from averaging the delays as taught by Zhang et al in the combined system of Negishi, Tanaka, Kim et al and Mukerjee et al in order to synchronously play video and audio data

Regarding **claim 23**, Negishi discloses the computer-implemented method, wherein priority is given to the execution of the computer-implemented method to improve the quality associated with the calculated frame rates (col 8, lines 10 – 16)

Regarding **claim 24**, Negishi discloses one or more computer-readable memories containing a computer program that is executable by a processor to perform the computer-implemented method (col 5, lines 38 – 39)

9. Claim 18 is rejected under 35 U.S.C. 103(a) as being unpatentable over Negishi (US 6717891) in view of Tanaka (US 6130987) and further in view of Zhang et al (US 5635982) and still further in view of Kim et al (US 6219704) and still further in view of

Mukerjee et al (US 2005/0013365) and still further in view of Maturi et al (US 5960006)

Regarding **claim 18**, Negishi discloses a computer-implemented method (see claim1)

However Negishi, Tanaka, Kim et al and Mukerjee et al does not disclose the frame skip factor is calculated with a tolerance factor that accounts for variability in a system timer.

On the other hand Zhang et al discloses a frame skip factor is calculated with a tolerance factor that accounts for variability (col 4, lines 29 – 31)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate a frame skip factor is calculated with a tolerance factor that accounts for variability as taught by Zhang et al in the system of Negishi, Tanaka, Kim et al and Mukerjee et al in order to synchronously play video and audio data

The combination of Negishi, Tanaka, Kim et al, Mukerjee et al and Zhang et al does not disclose a system timer

On the other hand Maturi et al teaches a system timer (col 9, line 22)

It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate a system timer as taught by Maturi et al in the combined system of Negishi, Tanaka, Kim et al and Mukerjee et.al and Zhang et al in order to synchronously play video and audio data

#### ***Allowable Subject Matter***

10. Claims 20, 21 and 22 are objected as being dependent on a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of

the base claim and any intervening claims and amended to overcome the rejection(s) under 35 U.S.C. 103 set forth in this Office action.

Regarding **claim 20**, the prior art of record fails to teach, disclose or fairly suggest as recited in claim 20, the prior art fails to disclose The computer-implemented method as recited in claim 17, wherein the frame-dropping algorithm includes:

if the frame skip factor is greater than the ideal frame rate, adding the ideal frame rate to an iterator; and

if the iterator is greater than or equal to the frame skip factor, subtracting the frame skip factor from the iterator and showing the current frame.

Regarding **claim 21**, the prior art of record fails to teach, disclose or fairly suggest as recited in claim 21, the prior art fails to disclose The computer-implemented method as recited in claim 20, wherein the frame-dropping algorithm includes if the iterator is less than the frame skip factor, dropping the current frame.

Regarding **claim 22**, the prior art of record fails to teach, disclose or fairly suggest as recited in claim 22, the prior art fails to disclose The computer-implemented method as recited in claim 21, wherein the frame-dropping algorithm includes:

if the iterator is less than the frame skip factor, determining whether the average delay has reached a significant percentage of a maximum delay; and

if so, showing the next I-frame subsequent to the current frame.

### ***Conclusion***

11. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure

Kehlet et al (US 6831653) discloses a graphics pixel packing for improved fill rate performance

Duruoz et al (US 6654539) discloses a trick playback digital video data.

Laksonso et al (US 6297852) discloses a video display method and apparatus with synchronized video playback and weighed frame creation.

Webster III (US 5053761) discloses a method for smooth bitmap scrolling.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Syed Y. Hasan whose telephone number is 571-270-1082. The examiner can normally be reached on 9/8/5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thai Tran can be reached on 571-272-7382. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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